## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Errol William O'BRIEN

Serial No.

Group Art Unit:

Filing Date

Examiner:

Title

WASTE WATER TREATMENT

International

Application No. :

PCT/AU2004/000965

International

Filing Date

July 16, 2004

**Priority Date** 

Claimed

July 16, 2003

**Entity Status** 

Small Entity

Our Docket

RR-603 PCT/US

## **EXPRESS MAIL CERTIFICATE**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as EXPRESS MAIL Customer Receipt No. <u>EV334433573US</u> in an envelope addressed to:

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on January 13, 2006

Attorney for Applicant

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 CFR 1.56, applicant encloses a completed Form

PTO-1449 (2 pages) listing the prior art documents cited by applicant.

Copies of the foreign patent documents cited by applicant are enclosed.

The documents being furnished were cited in an International Search Report dated August 19, 2004 and in an International Preliminary Examination Report ("IPER") dated June 15, 2005, which accompany this Information Disclosure Statement. Notably, the IPER indicates that all claims satisfy the criteria of novelty, inventive step and industrial applicability.

Applicant respectfully requests that the examiner consider the enclosed documents in the examination of this application.

Since a first Office Action on this patent application has not yet been received, applicant believes that no fee is chargeable for the filing of this Information Disclosure Statement.

However, should any fee be necessary in connection with the filing of this Information Disclosure Statement, please debit our Deposit Account No. 18-1743.

Respectfully submitted,

Charles B. Rodman, Reg. No. 26,798

Lyle Hodring

Attorney for Applicant

Dated: January 13, 2006 RODMAN & RODMAN 7 South Broadway White Plains, New York 10601

Telephone: (914) 949-7210 Facsimile: (914) 993-0668

966-30-IDSa

10 To 10 To 1

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** Filing Date INFORMATION DISCLOSURE First Named Inventor O'BRIEN STATEMENT BY APPLICANT Art Unit (Use as many sheets as necessary) Examiner Name Attorney Docket Number | RR-603 PCT/US Sheet

				T DOCUMENTS	
Examiner Initials*	Cite No.'	Document Number Publication Date MM-DD-YYYY  Number-Kind Code <sup>2 (# known)</sup>	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		<sup>US-</sup> 5,720,882	02/24/1998	STENDAHI et al	
		<sup>US-</sup> 6,132,624	10/17/2000	SUZUKI et al	
		US- 6,379,546	04/30/2002	BRAUN	
		US-			11-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1
· · · · · ·		US-	"		
		US-			
		US-			
	<b>i</b>	US-			
		US-			
	<del> </del>	US-			
	<del> </del>	US-			
		US-	<del>- </del>		
		US-	-		
	<del>                                     </del>	US-	<del>                                     </del>		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear		
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				T <sup>6</sup>	
		JP 05-154487 A	06/22/1993	UNITIKA LTD			
		JP 11057748 A	03/02/1999	KURITA WATER IND.			
		JP 11262776 A	09/28/1999	KURITA WATER IND.			
		JP 11277073 A	10/12/1999	KURITA WATER IND.			
		JP 2000210696 A	08/02/2000	KURITA WATER IND.			
		JP 2001009472 A	01/16/2001	UNITIKA LTD	·		

Examiner	Date	-	
Signature	Considered		
Signature	Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

OMB control number.				
Complete if Known				
1264	159			
	l			
	75641			

U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US-	1				
		US-					
		US-	<u> </u>		-		
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
	<u> </u>	US-		•			

Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>©</sup>
		DE 3732896	08/25/1988	YAWARI TOURADJ		
	1	DE 3833039	04/05/1990	MAIER WERNER		
		SE 8802075 A	12/04/1989	HALLBERG R O		
		WO 94/00391 A1	01/06/1994	WATER BOARD		
		KR 9508039 B1	07/24/1995	SAMSUNG CONSTR.		

Examiner	Date	te	
Signature	Cons	nsidered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.